University of Maine Limited and Internal Competitions Policy

This policy establishes the procedure to be followed when a sponsor limits the number of proposals that may be submitted by any one institution in response to a solicitation.

Limited competitions are coordinated by the Office of Research Development (ORD) within the Office of the Vice President for Research and Dean of the Graduate School (OVPRDGS). Please direct inquiries to Jason Charland, Director of Research Development, at 581- 2461, or jason.charland@maine.edu.

Intent to Submit

IMPORTANT: Registering an intent to submit is considered a firm commitment, NOT a tentative indication of interest. Once registered, the PI is expected to participate in the internal competition or apply to the solicitation, as applicable.

- It is the PI's responsibility to check if an award announcement is limited.
- Limited competitions will be posted on the limited competitions registry (registry) on the Office of Research Development (ORD) website as solicitations are received or anticipated.
- If a solicitation does not appear in the registry, the PI must contact ORD no less than 30 days prior to the sponsor deadline to request the solicitation be entered into the registry.
- PIs signal their participation in a limited competition by filing a notice of intent in <u>PARS</u>.
- The internal deadline to register an intent to submit is typically 60 days prior to the sponsor deadline, but may vary anywhere from 45 to 90 days. When appropriate, the internal deadline may be extended up to 150 days prior to sponsor deadline to allow sufficient time to carry out the limited competition.
- If more intents are received by the internal deadline than allowed by the sponsor, ORD will conduct an internal competition to determine which proposal(s) will be submitted.
- If the number of intents received by the internal deadline is less than the limit, all applicants will receive notification that they are approved to submit, and the solicitation will remain open for others to sign up late for (see below).
- If the number of intents received by the internal deadline is equal to the limit, they will receive notification that they are approved and no additional intents will be accepted after the deadline.
- If an internal deadline has passed with no intents or fewer than that allowed, PIs may submit late intents and will be approved for submission on a first-come basis.
- Special solicitations may be an exception to this policy (e.g NSF EPSCoR RII Track 1), subject to their own procedures and requirements.

Internal Competition

In the event an internal competition is required, the ORD will coordinate the review process to determine which proposal(s) will be selected for submission to the sponsor.

- A technical and administrative review will be performed by an internal panel comprised of university administrators and tenured (or equivalent) faculty members.
- PIs, Co-PIs, senior personnel, and collaborators on pre-proposals under review will not be members of the internal panel.
- Pre-proposals will be judged according to the criteria in the solicitation. Additional criteria, such as alignment with strategic initiatives and university priorities, may be considered.
- PIs with successful pre-proposals will be notified per the timeline provided in the solicitation and will work with ORD staff for additional support on proposal development and preparation.