

# Research Compliance Reference Sheet



Does your work involve any of the following?



## Human Subjects

Institutional Review Board (IRB) approval is required. See [Human Subjects](#).  
Contact the [Office of Research Compliance](#) at [umric@maine.edu](mailto:umric@maine.edu).



## Vertebrate Animals

Institutional Animal Care and Use Committee (IACUC) approval is required. See [Animal Care](#).  
Contact the [Office of Research Compliance](#) at [umric@maine.edu](mailto:umric@maine.edu).



## Biohazards *Infectious Agents, Biospecimens, Recombinant or Synthetic Nucleic Acids, Select Agents/Toxins*

Institutional Biosafety Committee (IBC) approval is required. See [Biosafety](#).  
Contact the [Office of Research Compliance](#) at [umric@maine.edu](mailto:umric@maine.edu).



## Radioactive Materials, Ionizing Producing Equipment, or Class 3B or 4 Lasers

*Includes sealed sources of radioactive materials. Examples of ionizing producing equipment include electron microscopes, x-ray equipment, etc.*

Radiation Safety Committee (RSC) approval is required.  
Contact Radiation Safety Officer Peter Snow at [peter.snow@maine.edu](mailto:peter.snow@maine.edu).



## Controlled Substances *DEA Controlled Substances, Hemp, or Hemp Derivatives*

See [Controlled Substances](#).  
Contact the [Office of Research Compliance](#) at [umric@maine.edu](mailto:umric@maine.edu).



## Export Control *Export controls, sensitive technology, foreign collaborations (including visiting scholars), foreign national participation in research activities, international travel, and/or international shipping.*

See [Export Control](#).  
Contact the [Office of Research Compliance](#) at [um.export@maine.edu](mailto:um.export@maine.edu).



## Financial Conflict of Interest

Training and certification is required for all investigators. See [Conflict of Interest](#).  
Contact the [Office of Research Compliance](#) at [umric@maine.edu](mailto:umric@maine.edu).



## Special Safety *Hazardous chemicals or regulated wastes, underwater diving (e.g. SCUBA), activities where respiratory protection is necessary, excessive noise (in excess of 85db), and/or other activities that require special safety.*

See [Safety Management](#).  
Contact UMS Safety Management at [sem@maine.edu](mailto:sem@maine.edu).



## NSF, NIH, or USDA-NIFA Funding

Training may be required. See [Responsible Conduct of Research](#).  
Contact the [Office of Research Compliance](#) at [umric@maine.edu](mailto:umric@maine.edu).

See also: [Office of Research Administration & "Award Management: PI Roles & Responsibilities" \(PDF\)](#)