

Research Compliance Reference Sheet



Does your work involve any of the following?



Human Subjects

Institutional Review Board (IRB) approval is required. Training may be required. See [Human Subjects](#). Contact the [Office of Research Compliance](#) at umric@maine.edu.



Vertebrate Animals

Institutional Animal Care and Use Committee (IACUC) approval is required. Training may be required. See [Animal Care](#). Contact the [Office of Research Compliance](#) at umric@maine.edu.



Biohazards *Infectious Agents, Biospecimens, Recombinant or Synthetic Nucleic Acids, Select Agents/Toxins*

Institutional Biosafety Committee (IBC) approval is required. Training may be required. See [Biosafety](#). Contact the [Office of Research Compliance](#) at umric@maine.edu.



Radioactive Materials, Ionizing Producing Equipment, or Class 3B or 4 Lasers

Includes sealed sources of radioactive materials. Examples of ionizing producing equipment include electron microscopes, x-ray equipment, etc.

Radiation Safety Committee (RSC) approval is required. Training is required. Contact Radiation Safety Officer Peter Snow at peter.snow@maine.edu.



Controlled Substances *DEA Controlled Substances, Hemp, or Hemp Derivatives*

See [Controlled Substances](#). Contact the [Office of Research Compliance](#) at umric@maine.edu.



Export Control *Export controls, sensitive technology, foreign collaborations (including visiting scholars), foreign national participation in research activities, international travel, and/or international shipping.*

See [Export Control](#). Training may be required. Contact the [Office of Research Compliance](#) at um.export@maine.edu.



Financial Conflict of Interest

Training and certification is required for all investigators. See [Conflict of Interest](#). Contact the [Office of Research Compliance](#) at umric@maine.edu.



Risk and Safety Management *Hazardous chemicals or regulated wastes, underwater diving (e.g. SCUBA), activities where respiratory protection is necessary, excessive noise (in excess of 85db), and/or other activities that require special safety.*

See [Risk and Safety Management](#). Training may be required. Contact UMS Risk and Safety Management at sem@maine.edu.



NSF, NIH, or USDA-NIFA Funding

Training may be required. See [Responsible Conduct of Research](#). Contact the [Office of Research Compliance](#) at umric@maine.edu.

See also: [Office of Research Administration & "Award Management: PI Roles & Responsibilities" \(PDF\)](#)