UMaine Fiscal Year 2018
(July 1, 2017 through June 30, 2018)

2016

July     Aug     Sept     Oct     Nov     Dec

Campus FY18 Budget Development
Initial Conversations

2017

Jan     Feb     Mar     Apr     May     Jun

1st iteration of FY18 budgets updated into MaineStreet by 01/15/17
UMaine budget reviewed by FF&T of BOT
BOT final budget approved 5/22/17

Key
FF&T = Finance, Facilities & Technology
BOT = Board of Trustees

November 15th Campus Budget Discussion
January 25th Campus Budget Discussion
May 25th Campus Budget Discussion

• Enrollment update
• Review of allocations & assumptions

Finalize preliminary FY18 Budget Working with VP’s, Deans & Directors
Board of Trustees Priority Outcomes

Our Focus for the Next 5 Years

- Increase Enrollment
- Improve Student Success & Completion
- Enhance the Fiscal Positioning of UMS
- Support Maine Through Research & Economic Development

Secondary Outcomes:
- Relevant Academic Programming
- Workforce Engagement
First Year Enrollment Goal

**Fall 2017**

2,300 first year students on October 15, 2017 (census)

**Fall 2016** first year students = 2,230
- largest in UMaine’s history
- previous record – 2,166 fall 2013

**Challenges**

Partnering with other UMS campuses to deliver the student Foundations Program

Capping enrollment in popular majors
- Bioengineering
- Mechanical Engineering
- Construction Engineering Technology
- Mechanical Engineering Technology
- Nursing
FY18 Confirmations & Melt

Fall 2017 First Year Confirmations as of 5.12.17

<table>
<thead>
<tr>
<th>In-State</th>
<th>Out-of-State</th>
<th>NEBHE, Canadian</th>
</tr>
</thead>
<tbody>
<tr>
<td>2,555</td>
<td>1,171</td>
<td>119</td>
</tr>
<tr>
<td>1,265</td>
<td>1,171</td>
<td>119</td>
</tr>
<tr>
<td>1,183</td>
<td>978</td>
<td>116</td>
</tr>
<tr>
<td>1,202</td>
<td>1,007</td>
<td>115</td>
</tr>
<tr>
<td>1,214</td>
<td>1,042</td>
<td>115</td>
</tr>
</tbody>
</table>

Projected Oct. 15 Census

- In-State: 2,277
- Out-of-State: 2,324
- NEBHE, Canadian: 2,371

Melt rates:
- In-State: 11% (same as 2016)
- Out-of-State: 9%
- NEBHE, Canadian: 7%
E&G Revenue & Expense
FY17

Revenue
- Tuition & Fees (Net of $3.0M waivers) $144.1M (56.9%)
- Unrestricted State Appropriation $82.5M (32.6%)
- Sales/Transfers/other $18.4M (7.2%)

Indirect Costs $8.4M

Total Revenue $253.4M

Expenditures
- Compensation & Benefits $151.6M (59.8%)
- Institutional Aid $42.6M (16.8%)
- All Other $59.2M (23.4%)

Detailed Expenditures:
- Fuel & Electricity: $10.1M (4.0%)
- Capital: $6.2M (2.4%)
- Library Acquisition: $6.1M (2.4%)
- Shared Services: $17.3M (6.8%)
- Travel: $2.5M (1.0%)
- Other: $17.0M (6.8%)
E&G Revenue & Expense

FY18

Revenue

- Tuition & Fees (Net of $3.0M waivers):
  - $155.8M
  - 58.3%

- Unrestricted State Appropriation:
  - $83.8M
  - 31.4%

- Sales/Transfers/other:
  - $19.2M
  - 7.2%

- Indirect Costs:
  - $8.4M
  - 3.1%

Total Revenue: $267.2M

Expenditures

- Compensation & Benefits:
  - $158.6M
  - 59.4%

- Institutional Aid:
  - $46.1M
  - 17.3%

- All Other:
  - $62.5M
  - 23.3%

- Fuel & Electricity:
  - 3.7%
  - $10.0M

- Capital:
  - 2.4%
  - $6.5M

- Library Acquisit.
  - 2.4%
  - $6.5M

- Shared Services:
  - 6.8%
  - $18.2M

- Travel:
  - 1.0%
  - $2.6M

- Other:
  - 7.0%
  - $18.7M

Total Expenditures: $267.2M
## FY17 & FY18 Comparison

### Revenue

<table>
<thead>
<tr>
<th>FY17</th>
<th>FY18</th>
</tr>
</thead>
<tbody>
<tr>
<td>Tuition &amp; Fees</td>
<td>$144.1M</td>
</tr>
<tr>
<td>(Net of $3.0M waives)</td>
<td>$155.8M</td>
</tr>
<tr>
<td>Unrestricted State Appropriation</td>
<td>$82.5M $83.8M</td>
</tr>
<tr>
<td>Indirect Costs</td>
<td>$8.4M</td>
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<tr>
<td>Sales/Transfers/other</td>
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### Expenditures

<table>
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<tr>
<th>FY17</th>
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</tr>
<tr>
<td>Capital</td>
<td>$6.2M $6.5M</td>
</tr>
<tr>
<td>Library Acquisition</td>
<td>$6.1M $6.5M</td>
</tr>
<tr>
<td>Shared Services</td>
<td>$17.3M $18.2M</td>
</tr>
<tr>
<td>Travel</td>
<td>$2.5M $2.6M</td>
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<tr>
<td>Other</td>
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</tr>
<tr>
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<td>$19.2M $18.4M</td>
</tr>
</tbody>
</table>

### Key Figures

- **Tuition & Fees**: FY17 - $155.8M, FY18 - $144.1M (Net of $3.0M waivers)
- **Unrestricted State Appropriation**: FY17 - $82.5M, FY18 - $83.8M
- **Indirect Costs**: FY17 - $8.4M, FY18 - $8.4M
- **Sales/Transfers/other**: FY17 - $18.4M, FY18 - $19.2M
Auxiliary Services Units

FY18 by Auxiliary Units

Revenue

- Dining: $20.2M (39.2%)
- Housing: $19.6M (38.1%)
- Bookstore: $7.0M (14.4%)
- Printing Serv.: $1.2M (2.3%)
- Motor Pool: $0.1M (0.1%)

Total Revenue: $51.5M

Expenditures

- Salaries & Wages: $17.2M (33.4%)
- Supplies & Services: $13.4M (26.0%)
- Capital & Reserves: $5.6M (10.9%)
- Scholarships & Waivers: $1.2M (5.8%)
- All Other: $7.4M (2.3%)

Total Expenditures: $3.7M

Net Revenue: $3.0M
## Tuition and Room & Board Rates

### Tuition – per Credit Hour

<table>
<thead>
<tr>
<th></th>
<th>FY17 Current</th>
<th>FY18 Proposed</th>
<th>$ Increase</th>
<th>% Increase</th>
</tr>
</thead>
<tbody>
<tr>
<td>In-State Undergraduate</td>
<td>$279</td>
<td>$286</td>
<td>$7</td>
<td>2.5%</td>
</tr>
<tr>
<td>In-State Graduate</td>
<td>$418</td>
<td>$429</td>
<td>$11</td>
<td>2.6%</td>
</tr>
<tr>
<td>Out of-State Undergrad</td>
<td>$908</td>
<td>$932</td>
<td>$24</td>
<td>2.6%</td>
</tr>
<tr>
<td>Out of-State Graduate</td>
<td>$1,361</td>
<td>$1,397</td>
<td>$36</td>
<td>2.6%</td>
</tr>
<tr>
<td>NEBHE/Canadian</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Undergraduate</td>
<td>$432</td>
<td>$458</td>
<td>$26</td>
<td>6.0%</td>
</tr>
<tr>
<td>Graduate</td>
<td>$648</td>
<td>$686</td>
<td>$38</td>
<td>5.9%</td>
</tr>
</tbody>
</table>

### Room & Board Rates*

<table>
<thead>
<tr>
<th></th>
<th>FY17 Current</th>
<th>FY18 Proposed</th>
<th>$ Increase</th>
<th>% Increase</th>
</tr>
</thead>
<tbody>
<tr>
<td>Room</td>
<td>$5,154</td>
<td>$5,270</td>
<td>$116</td>
<td>2.2%</td>
</tr>
<tr>
<td>Board</td>
<td>4,710</td>
<td>4,875</td>
<td>165</td>
<td>3.5%</td>
</tr>
<tr>
<td>Total</td>
<td>$9,864</td>
<td>$10,145</td>
<td>$281</td>
<td>2.8%</td>
</tr>
</tbody>
</table>

*Board rates shown are based on the meal plan with the greatest projected number of diners. Room rates are based on double occupancy. Several other meal plans and room rates are available.*
## Mandatory Fees

(Annual unless listed as per credit hour)

<table>
<thead>
<tr>
<th></th>
<th>FY17 Current</th>
<th>FY18 Proposed</th>
<th>Increase</th>
<th>% Increase</th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Graduate Student Activity Fee</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>1 or More Credit Hours</td>
<td>$80</td>
<td>$80</td>
<td>0</td>
<td>-</td>
</tr>
<tr>
<td><strong>Undergraduate Student Activity Fee</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 or More Credit Hours</td>
<td>$90</td>
<td>$106</td>
<td>16</td>
<td>17.8%</td>
</tr>
<tr>
<td><strong>Communication Fee</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>6 or More Credit Hours</td>
<td>$30</td>
<td>$30</td>
<td>0</td>
<td>-</td>
</tr>
<tr>
<td><strong>Recreation Center Fee</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0 to 5 Credit Hours</td>
<td>$162</td>
<td>$162</td>
<td>0</td>
<td>-</td>
</tr>
<tr>
<td>6 or More Credit Hours</td>
<td>$270</td>
<td>$270</td>
<td>0</td>
<td>-</td>
</tr>
<tr>
<td><strong>Unified Fee</strong></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>0 to 5 Credit Hours</td>
<td>$250</td>
<td>$256</td>
<td>6</td>
<td>2.4%</td>
</tr>
<tr>
<td>6 to 11 Credit Hours</td>
<td>$762</td>
<td>$782</td>
<td>20</td>
<td>2.6%</td>
</tr>
<tr>
<td>12 to 15 Credit Hours</td>
<td>$1,868</td>
<td>$1,916</td>
<td>48</td>
<td>2.6%</td>
</tr>
<tr>
<td>16 or More Credit Hours</td>
<td>$1,916</td>
<td>$1,966</td>
<td>50</td>
<td>2.6%</td>
</tr>
</tbody>
</table>

*Student voted fee
Maine Economic Improvement Funds (MEIF)

- Maine Economic Improvement Fund is a Restricted State Appropriation.

- The University of Maine supports the Maine Economic Improvement Fund with E&G dollars to cover the contractual salary increases and changes in benefit rates.

- Funds are used to build research infrastructure in the technology sectors identified by the State.
  - Leverage external grants and contracts
  - Support researchers and technicians
  - Support graduate and undergraduate students (non $ tuition)
  - Support product innovation
  - Purchase equipment to upgrade university labs
  - Protect intellectual property developed by these activities
<table>
<thead>
<tr>
<th>Description</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>FY18 MEIF base appropriation to UMaine</td>
<td>$13.2M</td>
</tr>
<tr>
<td>UMaine unrestricted support</td>
<td>$5.8M</td>
</tr>
<tr>
<td><strong>Total MEIF funds</strong></td>
<td><strong>$19.0M</strong></td>
</tr>
</tbody>
</table>

**MEIF Commitments**

- **Salaries, Wages, Benefits & Stipends**
  - $14.4M
  - 75.8%

- **Cost Share**
  - $2.7M
  - 10.2%

- **Operating**
  - $1.9M
  - 14.0%
MEIF Breakdown by Sector

$19.0M

Aquaculture & Marine Science
$4.4M

Information Technology
$3.0M

Forestry & Agriculture
$2.8M

Biotechnology
$1.3M

Environmental
$1.9M

Precision Manufacturing
$2.3M

Composites
$2.5M

Cross Sector
$0.8M
MEIF Project Examples

**Biotechnology**
Regulation of the Extracellular Matrix During Development

**Cross Sector**
State Surveillance of Opioid Morbidity and Mortality

**Environmental**
Multi and Hyperspectral Bio Optical Identification and Tracking of Water Masses

**Precision Manufacturing**
Interstitial Fluid Analysis: Feasibility Study for Use in Threat Exposure

**Aquaculture & Marine Science**
Maine EPSCoR - SEANET: Sustainable Ecological Aquaculture Network

**Composites**
Design Development of Prototype Engineered Energy Efficient and Low Logistic Burden Materials and Processes IV

**Information Technology**
NSF – Teaching Fellowship Program

**Forestry & Agriculture**
Penobscot Experimental Forest Research and Technology Transfer
FY18 Budget Summary

**Levers**

**NON-DISCRETIONARY**

- Net Incremental Revenue: $3,863,359
- Total Incremental Expense: ($5,811,512)

**STRATEGIC**

- Revenue Increases/(Decreases): $4,228,777
- Total Incremental Strategic Expense: ($3,041,209)

**Non-Discretionary Parameters Gap**

- ($1,948,153)

**Strategic Surplus**

- $1,187,568

**Total Non-Discretionary and Strategic Campus Gap:**

- ($760,585)
Focus on Increased Enrollment

Results in Enhanced Fiscal Positioning

Leading to Student Success & Opportunities

Permits

Investments in Faculty, Students & Staff

Increased Student Retention & Graduation

Revenue

Revenue

Expense

Expense

Enrollment: Driving Force

SHIFTING DEPENDENCE FROM STATE APPROPRIATION

MILLIONS

0

60

120

180

FY99

FY07

FY18

Base State Appropriation

Tuition & Fees

63%

37%

65%

35%