

Office of Research  
Administration



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## PI CERTIFICATION STATEMENT

**PARS Submission No.** \_\_\_\_\_

***As Principal Investigator I agree:***

<b>a) Conduct</b>
If the proposal is funded to i) accept responsibility for the scientific and ethical conduct of the project, ii) conduct the project in accordance with the terms and conditions of the sponsoring agency and the policies of the University, and iii) be fully responsible for meeting the requirements of the award, including providing proper stewardship of sponsored funds and submitting all required technical reports and deliverables on a timely basis.
<b>b) Financial Conflict of Interest</b>
Having taken the required on-line CITI Conflict of Interest training and reviewed <a href="#">UMaine's Policies and Procedures for Financial Disclosures and Conflicts of Interest</a> I: <input type="checkbox"/> <b>I DO NOT</b> have a significant financial interest in the proposed project. <input type="checkbox"/> <b>I DO</b> have a significant financial interest in the proposed project AND will prepare a <a href="#">Significant Financial Interest Disclosure Form</a> and submit it to the Office of Research Compliance (ORC). I understand this information must be received by ORC before a proposal will be submitted by the Office of Research Administration.
<b>c) Debarment and Suspension</b>
I will disclose to the Office of Research Administration, in writing upon immediate notice of the official action, if I am debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from current transactions by any federal department or agency as described in <a href="#">2 CFR §200.213 Suspension and debarment</a>
<b>d) Mandatory Disclosures</b>
I will disclose to the Office of Research Administration, in writing within 14 days of the official action, all violations of Federal criminal law involving fraud, bribery, or gratuity violations potentially affecting a Federal award in compliance with <a href="#">2 CFR Part 200.113 Mandatory Disclosures</a>
<b>e) Federal Debt Delinquency</b>
No individual listed in the proposal, including myself, are presently delinquent in repaying any Federal debt such as Federal income tax obligations or a loan from a Federal Agency in compliance with the Federal Debt Collection Procedure Act, 28 U.S.C. 3201(e).

<b>f) Lobbying Disclosure</b>
For Awards with total costs which exceed \$100,000, no individual listed in the proposal, including myself, has or will lobby any Federal Agency on behalf of the award, continuation, renewal, amendment or modification.
<b>g) Malign Foreign Talent Recruitment Program</b>
<p>I have reviewed the &lt;<a href="#">University's Guidance on Foreign Talent Recruitment Programs (FTRP)</a>&gt; and any applicable sponsor-specific guidance on FTRP and certify that I am <b>not</b> a party to a Malign Foreign Talent Recruitment Program (MFTRP). Further, I certify:</p> <p><input type="checkbox"/> I DO have one or more activities which meet the definition of FTRP and I will disclose this activity in my sponsor-specific forms (i.e. biosketch), as required.</p> <p><input type="checkbox"/> I DO NOT have any activities which meet the definition of FTRP.</p>
<b>h) Award Management</b>
If funded, I will abide by all policies and procedures as detailed in the document < <a href="#">Award Management: Role and Responsibilities of a Principal Investigator at the University of Maine</a> >

**By submission of this Certification, I certify that I have reviewed, understand and agree to comply with the above policies.**

**Principal Investigator Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

Printed name of Principal Investigator: \_\_\_\_\_

**Dept Chair, School/Institute/Center Director Signature:** \_\_\_\_\_ **Date:** \_\_\_\_\_

Printed name of Dept Chair, School/Institute/Center Director: \_\_\_\_\_